IN THE SPECIFICATION

Please replace paragraph [0009] with the following rewritten paragraph:

[0009] The features and advantages of the present invention will be better understood when the Detailed Description of the Preferred Embodiments given below is considered in conjunction with the figures provided, wherein:

FIG. 1 is a simplified block diagram of a data processing system constructed and operating in accordance with one embodiment of the present invention;

FIG. 2 is a simplified block diagram of a tiered implementation of the data processing system of FIG. 1;

FIGS. 3A-3G depict application maps of a worldwide web implementation of the data processing system of FIG. 1 in accordance with one embodiment of the present invention;

FIGS. 4A and 4B depict one embodiment of a user interface providing details regarding an object of value involved in a transaction tracked and monitored by the data processing system of FIG. 1;

FIGS. 5A-5C depict one embodiment of a user interface providing details regarding a transaction for the object of value of FIGS. 4A-4B;

FIG. 6 depicts a user interface for displaying a summary of transaction data;

FIGS. 7A and 7B depict a user interface for editing the transaction data of FIG. 6;

FIG. 8 is a user interface for invoking an inquiry into a third party data store including information corresponding to the object of value;

FIGS. 9A and 9B, and 10A-10D depict electronic versions of documents of interest within the transaction regarding the object of value;

FIG. 11 depicts a user interface providing information regarding financial instruments related to the transaction involving the object of value;

FIG. 12 depicts a user interface providing detailed information regarding the financial instrument;

FIG. 13 depicts a user interface providing transactions in services supporting the object of value;

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FIGS. 14A and 14B depict an electronic service order related to the object of value;

FIGS. 15A and 15B depict a user interface providing a graphical representation of milestones for completing a transaction involving the object of value;

FIGS. 16A and 16B depict a user interface providing a graphical representation of a document generation routine;

FIGS. ~~17A-17D depicts~~ <u>17A-17C</u> depict data records, in accordance with one embodiment of the present invention, for storing information of objects and transactions tracked and monitored by the present invention;

FIGS. 18A and 18B depict a user interface providing information for a first step of a process of originating a transaction record in accordance with one embodiment of the present invention;

FIGS. 19A-19C depict a user interface providing information for a second step of the originating transaction process;

FIG. 20 depicts a user interface providing information for a third step of the originate transaction process;

FIGS. 21A-21C depict a user interface providing information for a fourth step of the originate transaction process;

FIGS. 22A and 22B depict a user interface providing information for a fifth step of the originate transaction process;

FIG. 23 depicts a user interface providing information for a sixth step of the originate transaction process; FIGS. 24A-24I depict a user interface providing information for a seventh step of the originate transaction process;

FIGS. 25A and 25B depict a user interface providing information for a client approval process invoked by an administrator of the system of FIG. 1;

FIGS. 26A and 26B depict a user interface providing information for transaction maintenance invoked by an administrator of the system of FIG. 1; and

FIGS. 27A and 27B depict a user interface providing information for assisting an administrator of the system of FIG. 1 defining documents of interest within a transaction tracked and monitored by the system.

Please replace paragraph [0023] with the following rewritten paragraph:

[0023] In one embodiment, illustrated in FIG. 2, the data tier 250 includes a plurality of Microsoft SQL 2000 databases, for example, two databases 252 and 254, which contain data relating to the SMT™ system 10 in particular (e.g., database 252) and relating to third party systems (e.g., database 254) such as, for example, on-line credit bureaus, financial institutions, or the like. FIGS. ~~17A-17D~~ 17A-17C depict exemplary data records stored within the SMT™ system 10 data bases 252 and 254.

Please replace paragraph [0037] with the following rewritten paragraph:

[0037] It should be appreciated that the Broker/Dealer Interface Module 400 builds data records for storing the data fields in the data store 60. For example, FIGS. ~~17A-17D~~ 17A-17C illustrate data records 62-74 holding information corresponding to the registrant information 62, inventory 64, vessel data 66, parties to transaction (e.g., Party to Sale) 68, financing 70, transaction (e.g., Sales Transaction) 72 and closing 74 information. In accordance with the present invention, the data records corresponding to a particular transaction (e.g., the specific registrant 62, inventory 64, vessel 66, parties 68, financing 70, transaction 72 and closing 74 information involved in a transaction) are linked by means of the transaction id 536 (FIG. 6) assigned at a time of creation of the transaction record 72. Referring again briefly to FIG. 3B, as the transaction and/or components (e.g., documents and/or activities within milestones of the transaction) are modified the revised transaction record is made available to all users of the SMT™ system 10 in real-time. For example and as is illustrated in FIG. 3B at 492, the aforementioned COUNTDOWN TO CLOSING^SM feature 496 provides a mechanism for each constituent (e.g., the clients) of a particular transaction to review and/or update milestones to that transaction. In one embodiment, the SMT™ system 10 administrators are primarily the persons updating milestones of a transaction. However, the clients can display and review details of the transaction utilizing the COUNTDOWN TO CLOSING^SM feature. In another aspect of the present invention, a Generate Documents module 498 retrieves the current information from the data records corresponding to a transaction and provides (e.g.,

creates or generates) the documentation needed to process and complete the transaction. As with the COUNTDOWN TO CLOSING^{SM} feature, documents created with the Generate Documents module 498 reflect the most current information in the SMT^{TM} system 10 at the time of viewing and/or creation. Additionally details of the COUNTDOWN TO CLOSING^{SM} feature 496 and the Generate Documents module 498 are provided below.